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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: National Institutes of Health (NIH), U.S. Dept. of Health and Human Services (DHHS), U.S. GovernmentApplication No./Patent No.: 10/763479 Filed/Issue Date: January 23, 2004Entitled: REGULATED POLYMERASE III EXPRESSION SYSTEMS AND RELATED METHODSNational Institutes of Health (NIH), U.S.
Dept. of Health and Human Services
(DHHS), U.S. Government
(Name of Assignee), a government agency
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Mital, Vivek, et al. To: Cold Spring Harbor Laboratory
The document was recorded in the United States Patent and Trademark Office at
Reel 015842, Frame 0278, or for which a copy thereof is attached.

National Institutes of Health (NIH), U.S.
Dept. of Health and Human Services
(DHHS), U.S. Government

2. From: Cold Spring Harbor Laboratory To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel 020935, Frame 0390, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned, whose title is supplied below, is authorized to act on behalf of the assignee.

John Maroney
Signature9/9/09
DateJohn Maroney
Printed or Typed Name020.938.0360 Ext. 33120
Telephone NumberAuthorized Signer for Assignee
Title